(11)

No. 01-800-CFX Title: Karen Howsam, etc., Petitioner
v.
Dean Witter Reynolds, Inc.

Docketed: December 3, 2001 Court: United States Court of Appeals for the Tenth Circuit

Entry	Date	Proceedings and Orders
	Nov 6 2001	Petition for writ of certiorari filed. (Response due January 2, 2002)
	Jan 2 2002	Brief of respondent Dean Witter Reynolds in opposition filed.
	Jan 7 2002	Request for an extension of time to file a brief in opposition denied.
	Jan 16 2002	DISTRIBUTED for Conference of February 15, 2002
	Feb 19 2002	REDISTRIBUTED for Conference of February 22, 2002
	Feb 25 2002	Petition GRANTED. Justice O'Connor OUT. SET FOR ARGUMENT October 9, 2002.
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	Mar 26 2002	Order extending time to file the joint appendix and petitioners' brief on the merits to and including May 28, 2002.
	May 28 2002	Brief amicus curiae of Securities and Exchange Commission filed.
	May 28 2002	LODGING consisting of ten copies of an article by Barbara Black submitted by the Solicitor General.
	May 28 2002	Brief amici curiae of Trial Lawyers for Public Justice and AARP filed.
	May 28 2002	Joint appendix filed.
	May 28 2002	Brief of petitioner Karen Howsam filed.
	Jun 3 2002	Order extending time to file respondent's brief on the merits to and including July 22, 2002.
	Jul 22 2002	Motion of Competitive Enterprise Institute for leave to file a brief as amicus curiae filed.
	Jul 22 2002	Brief amicus curiae of Securities Industry Association filed.
	Jul 22 2002	Brief of respondent Dean Witter Reynolds Inc. filed.
	Jul 22 2002	LODGING consisting of twenty spiral bound copies of related material received from counsel for amicus Securities Industry Assn. and distributed.
	Jul 23 2002	CIRCULATED.
	Jul 31 2002	Motion of the Solicitor General for leave to participate in oral argument as amicus curiae and for divided argument filed.
	Aug 14 2002	Record filed.
	Aug 16 2002	Application (02A148) to extend the time for filing the reply brief on the merits, submitted to Justice Breyer.
	Aug 21 2002	Application (02A148) granted by Justice Breyer extending the time to file until August 23, 2002.
	Aug 21 2002	Record filed.
	Aug 22 2002	Reply brief of petitioner Karen Howsam, etc. filed.
	Sep 6 2002	Motion of Competitive Enterprise Institute for leave to file a brief as amicus curiae GRANTED. Justice O'Connor OUT.

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Entry	Date	Proceedings and Orders
	Sep 6 2002	Motion of the Solicitor General for leave to participate in oral argument as amicus curiae and for divided argument GRANTED. Justice O'Connor OUT.
	Oct 8 2002	LODGING consisting of twenty copies of related NASD provisions received from counsel for the respondents and distributed.
	Oct 9 2002	ARGUED.